

#### **INTELLIGENT SYSTEMS (CSE-303-F)**

Section C

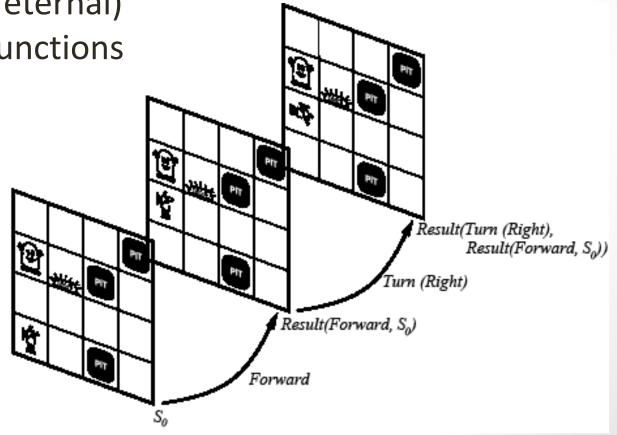
Planning

### Situation Calculus: Ontology

- Situations
- Fluents
- Atemporal (or eternal) predicates & functions

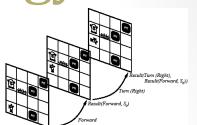
#### AIMA Section 10.3

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### Situation Calculus: Ontology

- Situations
  - Initial state: S<sub>0</sub>



- A function Result(a.s) gives the situation resulting from applying action a in situation s
- Fluents
  - Functions & predicates whose truth values can change from one situation to the other
  - Example: ¬Holding(G<sub>1</sub>, S<sub>0</sub>)
- Atemporal (or eternal) predicates and functions
  - Example: Gold(G<sub>1</sub>), LeftLegOf(Wumpus)

## **Situation Calculus**

- Sequence of actions
  - Result([],s)=s
  - Result([a|seq],s)=Result(seq,Result(a,s))
- Projection task
  - Deducing the outcome of a sequence of actions
- Planning task
  - Find a sequence of actions that achieves a desired effect

# Example: Wumpus World

#### • Fluents

- At(o,p,s), Holding(o,s)
- Agent is in [1,1], gold is in [1,2]
  - At(Agent,[1,1],S<sub>0</sub>)  $\land$  At(G<sub>1</sub>,[1,2],S<sub>0</sub>)
- In *S<sub>o</sub>*, we also need to have:
  - At(o,x,S<sub>0</sub>)  $\Leftrightarrow$  [(o=Agent)  $\land$  x=[1,1]]  $\lor$  [(o=G<sub>1</sub>)  $\land$  x=[1,2]]
  - ¬Holding(o,S<sub>0</sub>)
  - Gold(G<sub>1</sub>) ^ Adjacent([1,1],[1,2]) ^ Adjacent([1,2],[1,1])
- The query is:
  - $\exists$  seq At(G<sub>1</sub>,[1,1],Result(seq,S<sub>0</sub>))
- The answer is
  - At(G1,[1,1],Result(Go([1,1],[1,2]),Grab(G<sub>1</sub>),Go([1,2],[1,1]),S<sub>0</sub>))

#### **Importance of Situation Calculus**

#### Historical note

- Situation Calculus was the first attempt to formalizing planning in FOL
- Other formalisms include Event Calculus
- The area of using logic for planning is informally called in the literature "Reasoning About Action & Change"
- Highlighted three important problems
  - 1. Frame problem
  - 2. Qualification problem
  - 3. Ramification problem

## 'Famous' Problems

- Frame problem
  - Representing all things that stay the same from one situation to the next
  - Inferential and representational
- Qualification problem
  - Defining the circumstances under which an action is guaranteed to work
  - Example: what if the gold is slippery or nailed down, etc.
- Ramification problem
  - Proliferation of implicit consequences of actions as actions may have secondary consequences
  - Examples: How about the dust on the gold?

## Outline

- Background
  - Situation Calculus
  - Frame, qualification, & ramification problems

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- Representation language
- Algorithms

# **Planning Languages**

- Languages must represent..
  - States
  - Goals
  - Actions
- Languages must be
  - Expressive for ease of representation
  - Flexible for manipulation by algorithms

#### **State Representation**

- A state is represented with a conjunction of positive literals
- Using

  - FOL literals: *At(Plane1,OMA)*  $\land$  *At(Plan2,JFK)*
- FOL literals must be ground & function-free
  - Not allowed: At(x,y) or At(Father(Fred),Sydney)
- Closed World Assumption
  - What is not stated are assumed false

#### **Goal Representation**

- Goal is a partially specified state
- A proposition satisfies a goal if it contains all the atoms of the goal and possibly others..
  - Example: Rich  $\wedge$  Famous  $\wedge$  Miserable satisfies the goal Rich  $\wedge$  Famous

#### **Action Representation**

- Action Schema
  - Action name
  - Preconditions
  - Effects

#### Example

Action(Fly(p,from,to),

PRECOND: At(p,from)  $\land$  Plane(p)  $\land$  Airport(from)  $\land$  Airport(to)

EFFECT:  $\neg At(p, from) \land At(p, to))$ 

#### Sometimes, Effects are split into ADD list and DELETE list

At(WHI,LNK),Plane(WHI), Airport(LNK), Airport(OHA)

Fly(WHI,LNK,OHA)

At(WHI,OHA), ¬ At(WHI,LNK)



# Applying an Action

- Find a substitution list  $\boldsymbol{\theta}$  for the variables
  - of all the precondition literals
  - with (a subset of) the literals in the current state description
- Apply the substitution to the propositions in the effect list
- Add the result to the current state description to generate the new state
- Example:
  - Current state: At(P1,JFK) ^ At(P2,SFO) ^ Plane(P1) ^ Plane(P2) ^ Airport(JFK) ^ Airport(SFO)
  - It satisfies the precondition with  $\theta = \{p/P1, from/JFK, to/SFO\}$
  - Thus the action Fly(P1,JFK,SFO) is applicable
  - The new current state is: At(P1,SFO) ^ At(P2,SFO) ^ Plane(P1) ^ Plane(P2) ^ Airport(JFK) ^ Airport(SFO)

#### Languages for Planning Problems

#### • STRIPS

- Stanford Research Institute Problem Solver
- Historically important
- ADL
  - Action Description Languages
  - See Table 11.1 for STRIPS versus ADL
- PDDL
  - Planning Domain Definition Language
  - Revised & enhanced for the needs of the International Planning Competition
  - Currently version 3.1

# Example: Air Cargo

- See Figure 11.2
- Initial state
- Goal State
- Actions: Load, Unload, Fly

## **Example: Spare Tire Problem**

- See Figure 11.3
- Initial State
- Goal State
- Actions:
  - Remove(Spare,Trunk), Remove(Flat, Axle)
  - PutOn(Spare,Axle)
  - LeaveOvernight
- Note
  - the negated precondition  $\neg At(Flat, Axle)$  not allowed in STRIPS.
  - Could be easily replaced with *Clear(Axle)*, adding one more predicate to the language



## Example: Blocks World

- See Fig 11.4
- Initial state
- Goal
- Actions:
  - Move(b,x,y)
  - MoveToTable(b,x)

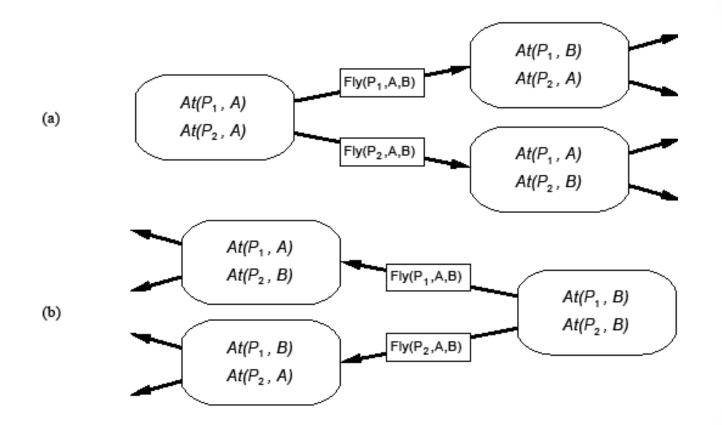
# Outline

- Background
  - Situation Calculus
  - Frame, qualification, & ramification problems
- Representation language
- Planning Algorithms
  - State-Space Search
  - Partial-Order Planning (POP)
  - Planning Graphs (GRAPHPLAN)
  - SAT Planners

## State-Space Search (1)

- Search the space of states (first chapters)
  - Initial state, goal test, step cost, etc.
  - Actions are the transitions between state
- Actions are invertible (why?)
  - Move forward from the initial state: Forward State-Space Search or <u>Progression Planning</u>
  - Move backward from goal state: Backward State-Space Search or <u>Regression Planning</u>

#### State-Space Search (2)



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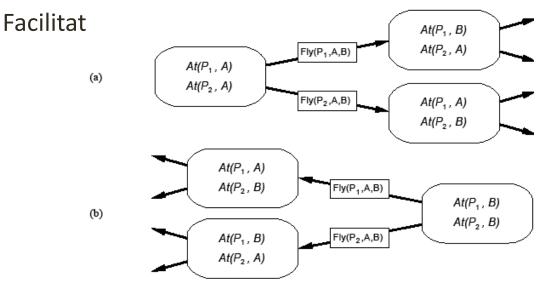
### State-Space Search (3)

- Remember that the language has no functions symbols
- Thus number of states is finite
- And we can use any complete search algorithm (e.g., A\*)
  - We need an admissible heuristic
  - The solution is a path, a sequence of actions: total-order planning
- Problem: Space and time complexity
  - STRIPS-style planning is PSPACE-complete unless actions have
    - only positive preconditions and
    - only one literal effect

#### **SRIPS** in State-Space Search

- STRIPS representation makes it easy to focus on 'relevant' propositions and
  - Work backward from goal (using EFFECTS)

Work forward from initial state (using PRECONDITIONS)



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#### **Relevant Action**

- An action is relevant
  - In Progression planning when its preconditions match a subset of the current state
  - In Regression planning, when its effects match a subset of the current goal state

#### **Consistent Action**

- The purpose of applying an action is to 'achieves a desired literal'
- We should be careful that the action does not undo a desired literal (as a side effect)
- A consistent action is an action that does not undo a desired literal

### **Backward State-Space Search**

#### Given

- A goal G description
- An action A that is relevant and consistent
- Generate a predecessor state where
  - Positive effects (literals) of A in G are deleted
  - Precondition literals of *A* are added unless they already appear
  - Substituting any variables in A's effects to match literals in G
  - Substituting any variables in A's preconditions to match substitutions in A's effects
- Repeat until predecessor description matches initial state

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## Heuristic to Speed up Search

- We can use A\*, but we need an admissible heuristic
  - 1. Divide-and-conquer: sub-goal independence assumption
  - Problem relaxation by removing
  - 2. ... all preconditions
  - 3. ... all preconditions <u>and</u> negative effects
  - 4. ... negative effects only: Empty-Delete-List



#### **1. Subgoal Independence Assumption**

- The cost of solving a conjunction of subgoals is the sum of the costs of solving each subgoal independently
- Optimistic
  - Where subplans interact negatively
  - Example: one action in a subplan delete goal achieved by an action in another subplan
- Pessimistic (not admissible)
  - Redundant actions in subplans can be replaced by a single action in merged plan

#### 2. Problem Relaxation: Removing Preconditions

- Remove preconditions from action descriptions
  - All actions are applicable
  - Every literal in the goal is achievable in one step
- Number of steps to achieve the conjunction of literals in the goal is equal to the number of unsatisfied literals
- Alert
  - Some actions may achieve several literals
  - Some action may remove the effect of another action

#### 3. Remove Preconditions & Negative Effects

- Considers only positive interactions among actions to achieve multiple subgoals
- The minimum number of actions required is the sum of the union of the actions' positive effects that satisfy the goal
- The problem is reduced to a set cover problem, which is NP-hard
  - Approximation by a greedy algorithm cannot guarantee an admissible heuristic



#### 4. Removing Negative Effects (Only)

- Remove all negative effects of actions (no action may destroy the effects of another)
- Known as the Empty-Delete-List heuristic
- Requires running a simple planning algorithm
- Quick & effective
- Usable in progression or regression planning

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- Situation Calculus
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#### Planning Algorithms

- State-Space Search
- Partial-Order Planning (POP)
- Planning Graphs (GRAPHPLAN)
- SAT Planners



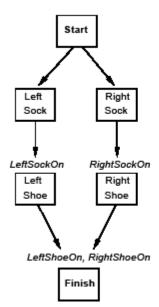
# Partial Order Planning (POP)

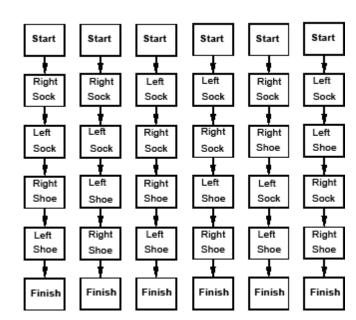
- State-space search
  - Yields totally ordered plans (linear plans)
- POP
  - Works on subproblems independently, then combines subplans
  - Example
    - Goal(RightShoeOn LeftShoeOn)
    - Init()
    - Action(RightShoe, PRECOND: RightSockOn, EFFECT: RightShoeOn)
    - Action(RightSock, EFFECT: RightSockOn)
    - Action(LeftShoe, PRECOND: LeftSockOn, EFFECT: LeftShoeOn)
    - Action(LeftSock, EFFECT: LeftSockOn)

#### **POP Example & its linearization**

Partial Order Plan:

Total Order Plans:





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#### **Components of a Plan**

- 1. A set of actions
- 2. A set of ordering constraints
  - $A \prec B$  reads "A before B" but not necessarily immediately before B
  - Alert: caution to cycles A  $\prec$  B and B  $\prec$  A
- 3. A set of causal links (protection intervals) between actions
  - A B reads "A achieves *p* for B" and p must remain true from the time A is applied to the time B is applied *RightSockQn*

RightShoe

- Example "RightSock
- 4. A set of open preconditions
  - Planners work to reduce the set of open preconditions to the empty set w/o introducing contradictions

# Consistent Plan (POP)

- Consistent plan is a plan that has
  - No cycle in the ordering constraints
  - No conflicts with the causal links
- Solution
  - Is a consistent plan with no open preconditions



- To solve a conflict between a causal link A B and an action C (that clobbers, threatens the causal link), we force C to occur outside the "protection interval" by adding
  - the constraint  $C \prec A$  (demoting C) or
  - the constraint B ≺ C (promoting C)

# Setting up the PoP

- Add dummy states
  - Start
    - Has no preconditions
    - Its effects are the literals of the initial state
  - Finish
    - Its preconditions are the literals of the goal state
    - Has no effects
- Initial Plan:
  - Actions: {Start, Finish}
  - Ordering constraints: {Start ≺ Finish}
  - Causal links: {}
  - Open Preconditions: {LeftShoeOn,RightShoeOn}



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## POP as a Search Problem

- The successor function arbitrarily picks one open precondition p on an action B
- For every possible consistent action A that achieves  $\rho \xrightarrow{\rho}$ 
  - It generates a successor plan adding the causal link A B and the ordering constraint A ≺ B
  - If A was not in the plan, it adds Start  $\prec$  A and A  $\prec$  Finish
  - It resolves all conflicts between
    - the new causal link and all existing actions
    - between A and all existing causal links
  - Then it adds the successor states for combination of resolved conflicts
- It repeats until no open precondition exists

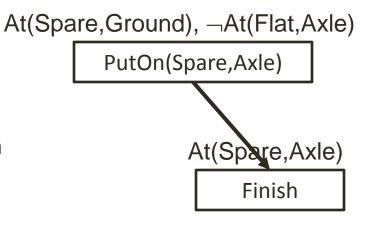
### Example of POP: Flat tire problem

See problem description in Fig 11.7 page 391

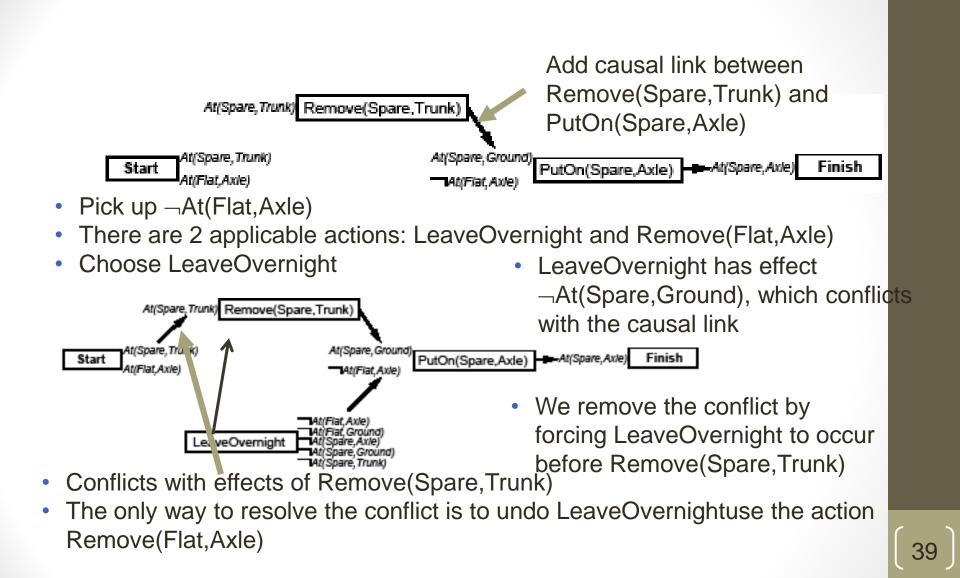
Start

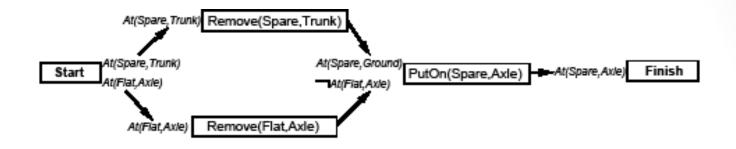
At(Spare,Trunk), At(Flat,Axle)

- Only one open precondition
- Only 1 applicable action



Pick up At(Spare,Ground)
Choose only applicable action Remove(Spare,Trunk)





- This time, we choose Remove(Flat,Axle)
- Pick up At(Spare,Trunk) and choose Start to achieve it
- Pick up At(Flat,Axle) and choose Start to achieve it.
- We now have a complete consistent partially ordered plan

# POP Algorithm (1)

- Backtrack when fails to resolve a threat or find an operator
- Causal links
  - Recognize when to abandon a doomed plan without wasting time expanding irrelevant part of the plan
  - allow early pruning of inconsistent combination of actions
- When actions include variables, we need to find appropriate substitutions
  - Typically we try to delay commitments to instantiating a variable until we have no other choice (least commitment)
- POP is sound, complete, and systematic (no repetition)

# POP Algorithm (2)

- Decomposes the problem (advantage)
- But does not represent states explicitly: it is hard to design heuristic to estimate distance from goal
  - Example: Number of open preconditions those that match the effects of the start node. Not perfect (same problems as before)
- A heuristic can be used to choose which plan to refine (which precondition to pick-up):
  - Choose the most-constrained precondition, the one satisfied by the least number of actions. Like in CSPs!
  - When no action satisfies a precondition, backtrack!
  - When only one action satisfies a precondition, pick up the precondiction.

# Outline

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- Situation Calculus
- Frame, qualification, & ramification problems
- Representation language

#### Planning Algorithms

- State-Space Search
- Partial-Order Planning (POP)
- Planning Graphs (GRAPHPLAN)
- SAT Planners



# Planning Graph

- Is special data structure used for
  - 1. Deriving better heuristic estimates
  - 2. Extract a solution to the planning problem: GRAPHPLAN algorithm
- Is a sequence  $\langle S_0, A_0, S_1, A_1, ..., S_i \rangle$  of levels
  - Alternating state levels & action levels
  - Levels correspond to time stamps
  - Starting at initial state

- State level is a set of (propositional) literals
  - All those literals that could be true at that level
- Action level is a set of (propositionalized) actions
  - All those actions whose preconditions appear in the state level (ignoring all negative interactions, etc.)
- Propositionalization may yield combinatorial explosition in the presence of a large number of objects

## Focus

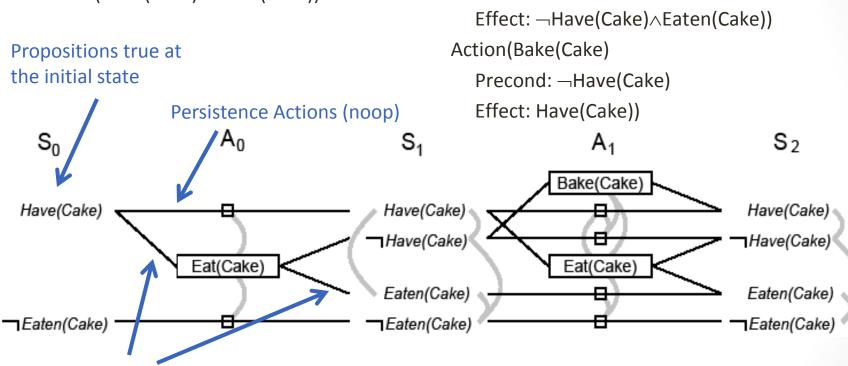
- Building the Planning Graph
- Using it for Heuristic Estimation
- Using it for generating the plan

### Example of a Planning Graph (1)

Action(Eat(Cake)

Precond: Have(Cake)

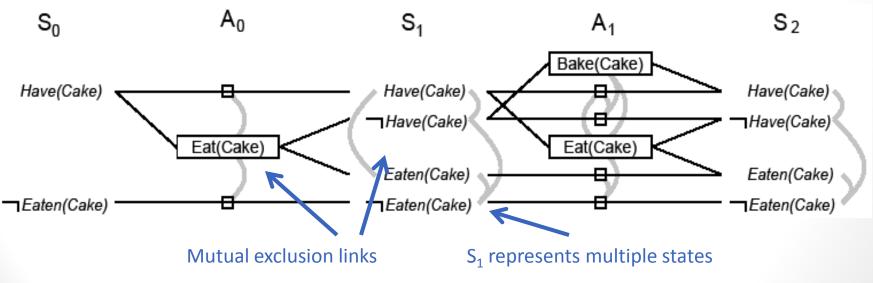
Init(Have(Cake)) Goal(Have(Cake) Eaten(Cake))



Action is connected to its preconds & effects

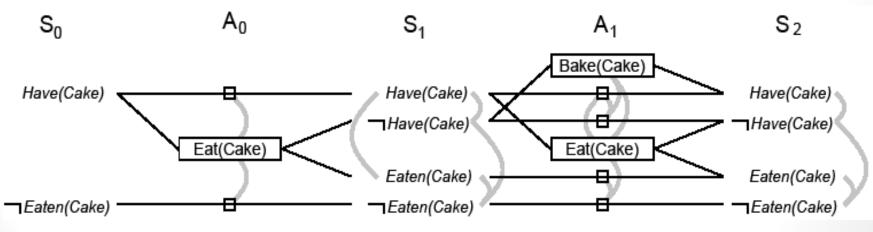
### Example of a Planning Graph (2)

- At each state level, list all literals that may hold at that level
- At each action level, list all noops & all actions whose preconditions may hold at previous levels
- Repeat until plan 'levels off,' no new literals appears (S<sub>i</sub>=S<sub>i+1</sub>)
- Building the Planning Graph is a polynomial process
- Add (binary) mutual exclusion (mutex) links between conflicting actions and between conflicting literals



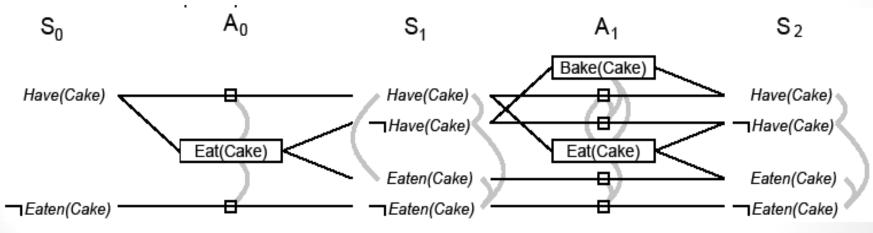
### Mutex Links between Actions

- 1. Inconsistent effects: one action negates an effect of another
  - Eat(Cake) & noop of Have(Cake) disagree on effect Have(Cake)
- 2. Interference: An action effect negates the precondition of another
  - Eat(Cake) negates precondition of the noop of Have(Cake):
- **3. Competing needs**: A precondition on an action is mutex with the precondition of another



### Mutex Links between Literals

- 1. Two literals are negation of each other
- 2. Inconsistent support: Each pair of actions that can achieve the two literals is mutex. Examples:
  - In S1, Have(Cake) & Eaten(Cake) are mutex
  - In S2, they are not because Bake(Cake) & the noop of Eaten(Cake)



## Focus

- Building the Planning Graph
- Using it for Heuristic Estimation
  - Planning graph as a relaxation of original problem
  - Easy to build (compute)
- Using it for generating the plan

#### Planning Graph for Heuristic Estimation

- A literal that does not appear in the final level cannot be achieved by any plan
  - State-space search: Any state containing an unachievable literal has cost h(n)=∞
  - POP: Any plan with an unachievable open condition has cost  $h(n)=\infty$
- The estimate cost of any goal literal is the first level at which it appears
  - Estimate is admissible for individual literals
  - Estimate can be improved by serializing the graph (serial planning graph: one action per level) by adding mutex between all actions in a given level
- The estimate of a conjunction of goal literals
  - Three heuristics: max level, level sum, set level

#### Estimate of Conjunction of Goal Literals

- Max-level
  - The largest level of a literal in the conjunction
  - Admissible, not very accurate
- Level sum
  - Under subgoal independence assumption, sums the level costs of the literals
  - Inadmissible, works well for largely decomposable problems
- Set level
  - Finds the level at which all literals appear w/o any pair of them being mutex
  - Dominates max-level, works extremely well on problems where there is a great deal of interaction among subplans

## Focus

- Building the Planning Graph
- Using it for Heuristic Estimation
- Using it for generating the plan
  - GraphPlan algorithm [Blum & Furst, 95]

# **GRAPHPLAN** algorithm

GRAPHPLAN(problem) returns solution or failure graph ← INITIALPLANNINGGRAPH(problem) goals ← GOALS[problem] loop do if goals all non-mutex in last level of graph then do

 $solution \leftarrow \text{ExtractSolution}(graph, goals, \text{Length}(graph))$ 

**if** solution ≠ failure **then return** solution

else if NoSolutionPossible(graph) then return failure

graph ← EXPANDGRAPH (graph,problem)

#### Two main stages

- 1. Extract solution
- 2. Expand the graph

### Example of GRAPHPLAN Execution (1)

- At(Spare,Axle) is not in S<sub>0</sub>
- No need to extract solution
- Expand the plan

S<sub>0</sub> At(Spare,Trunk)

At(Flat,Axle)

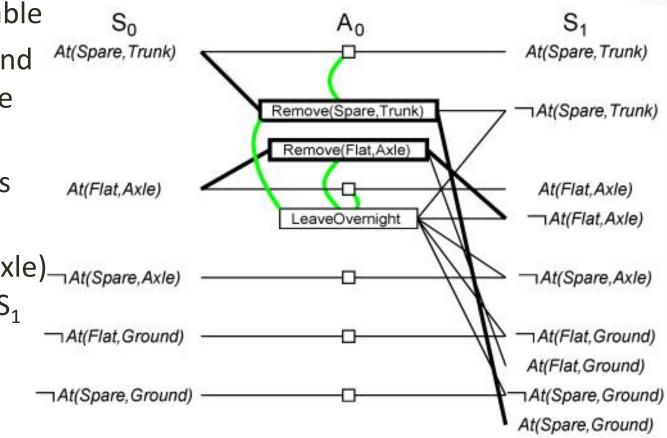
¬At(Spare,Axle)

-At(Flat, Ground)

-At(Spare, Ground)

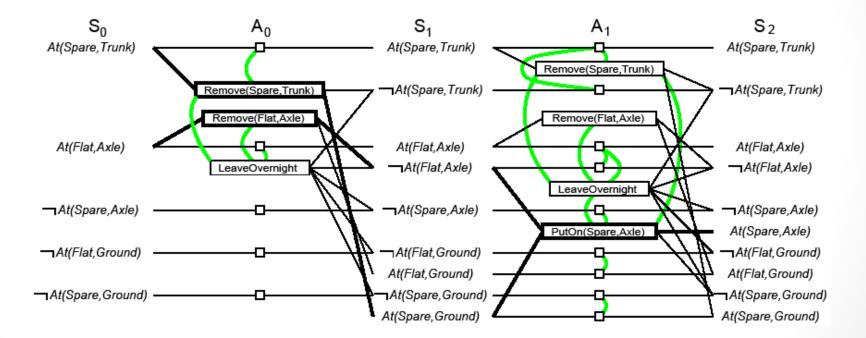
### Example of GRAPHPLAN Execution (2)

- Three actions are applicable
- 3 actions and 5 noops are added
- Mutex links At(Flat,Axle) are added
- still not in S<sub>1</sub>
- Plan is expanded



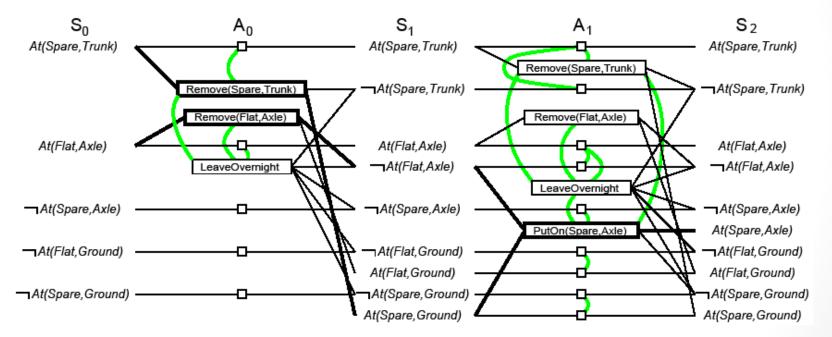
### Example of GRAPHPLAN Execution (3)

 Illustrates well mutex links: inconsistent effects, interference, competing needs, inconsistent support



### Solution Extraction (Backward)

- Solve a Boolean CSP: Variables are actions, domains are {0=out of plan, 1=in plan}, constraints are mutex
- 2. Search problem from last level backward



#### **Backtrack Search for Solution Extraction**

- Starting at the highest fact level
  - Each goal is put in a goal list for the current fact layer
  - Search iterates thru each fact in the goal list trying to find an action to support it which is not mutex with any other chosen action
  - When an action is chosen, its preconditions are added to the goal list of the lower level
  - When all facts in the goal list of the current level have a consistent assignment of actions, the search moves to the next level
- Search backtracks to the previous level when it fails to assign an action to each fact in the goal list at a given level
- Search succeeds when the first level is reached.

### **Termination of GRAPHPLAN**

- GRAPHPLAN is guaranteed to terminate
  - Literal increase monotonically
  - Actions increase monotonically
  - Mutexes decrease monotinically
- A solution is guaranteed not to exist when
  - The graph levels off with all goals present & non-mutex, and
  - EXTRACTSOLUTION fails to find solution

## **Optimality of GRAPHPLAN**

- The plans generated by GRAPHPLAN
  - Are optimal in the number of steps needed to execute the plan
  - Not necessarily optimal in the number of actions in the plan (GRAPHPLAN produces partially ordered plans)

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  - SAT Planners